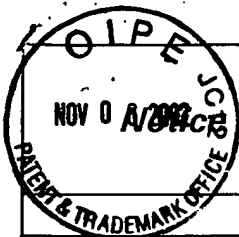


#5

09/769,223

FORM PTO-1449 (Modified)		Attorney Docket No.: 15280-196310US		Application No.: Unassigned	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: Benveniste et al.		Group: Unassigned	
		Filing Date: <del>Here with</del> 01/24/01		1648	
Reference Designation		U.S. PATENT DOCUMENTS			
Examiner Initial	Document No.	Date	Name	Class	Filing Date (If Appropriate)
J AA	5,128,319	7/7/92	Arlinghaus	514	12
FOREIGN PATENT DOCUMENTS					
	Document No.	Date	Country	Class	Translation (Yes/No)
AB					
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)					
J AC	Desrosiers, "HIV with Multiple Gene ..." AIDS Research and Human Retroviruses 8(3): 411-421, 1992.				
AD	Fox, "No Winners Against AIDS," Biotechnology 12:128, 1994.				
AE	Cohen, "Ad Conference, Hope for Success...", Science 266:1154, 1994.				
AF	Butini, et al., "Comparative Analysis of HIV ...", J. Cell Biochem., Suppl. 18B, Abstract J306, p. 147, 1994.				
AG	Haynes, 1993, "Scientific and Social Issues ...", Science 260:1279-1286, 1993.				
▼ AH	Clerici, et al., "Immunization with Subunit Human Innumodeficiency ...", E.J. Immunol. 21:1345-1349, 1991.				
EXAMINER		DATE CONSIDERED 03/05/03			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Application No.

09/769,223

Applicant(s)

Benveniste et al.

Examiner

Brett Nelson

Group Art Unit

1648

Page 1 of 1

## Notice of References Cited

## U.S. PATENT DOCUMENTS

*		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
x	A	5,128,310	07 JUL 92	Arlinghaus	514	12
	B					
	C					
	D					
	E					
	F					
	G					
	H					
	I					
	J					
	K					
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## FOREIGN PATENT DOCUMENTS

*		DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
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## NON-PATENT DOCUMENTS

*		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
x	U	Butini et al.; Comparative analysis of HIV-specific CTL activity in lymphoid tissue and peripheral blood; J. Cell. Biochem.; Supp 188; 1306	2/1994
x	V	Clerici et al.; Immunization with subunit human immunodeficiency virus vaccine generates stronger T helper cell immunity than natural infection; Eur. J. Immunol.; Vol. 21; pp. 1345-1349	1991
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	X		

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U. S. Patent and Trademark Office  
PTO-892 (Rev. 9-95)

\* A copy of this reference is not being furnished with this Office action.  
(See Manual of Patent Examining Procedure, Section 707.05(a).)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1

of

2

**Complete if Known**

Application Number	09/769,223
Filing Date	January 24, 2001
First Named Inventor	Raoul E. Benveniste
Art Unit	1648
Examiner Name	Jeffrey S. Parkin
Attorney Docket Number	015280-196310US

**U.S. PATENT DOCUMENTS**

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
DUP	AA	US 5,128,340	07/07/1992	Arlinghaus	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	AB					<input type="checkbox"/>
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)  Sheet <u>2</u> of <u>2</u>		<b>Complete if Known</b>	
		Application Number	09/769,223
		Filing Date	January 24, 2001
		First Named Inventor	Raoul E. Benveniste
		Art Unit	1648
		Examiner Name	Jeffrey S. Parkin
		Attorney Docket Number	015280-196310US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
DUP	AD	Desrosiers, "HIV with Multiple Gene ..." AIDS Research and Human Retroviruses 8(3): 411-421, 1992.	
	AE	Fox, "No Winners Against AIDS," Biotechnology 12:128, 1994.	
	AF	Cohen, "Ad Conference, Hope for Success...", Science 266:1154, 1994.	
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	AH	Haynes, 1993, "Scientific and Social Issues ...", Science 260:1279-1286, 1993.	
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LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT  
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Attorney Docket No. 015280-196310115  
Serial No. 09/769,223

Applicant  
Raoul E. Benveniste et al.

Filing Date January 24, 2001  
Group 1648

Reference Designation  
Examiner Initial  
Document No.  
Date  
Name  
Class  
Subcl:  
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If Approp

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